

## What is Diophantine analysis? (Lecture No. 1 21.07.2024).

1. Two Dirichlet theorems.

a. **Theorem 1.** For any  $\alpha \in \mathbb{R}$  and for any  $Q \in \mathbb{Z}_+$  there exists  $q \in \mathbb{Z}_+$  satisfying

$$1 \leq q \leq Q, \quad \|q\alpha\| \leq \frac{1}{Q}, \quad \|x\| = \min_{a \in \mathbb{Z}} |x - a| - \text{distance to the nearest integer}$$

b. **Theorem 2.** For any  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$  there exist infinitely many rational fractions  $\frac{p}{q}$  such that

$$\left| \alpha - \frac{p}{q} \right| < \frac{1}{q^2}.$$

2. Optimality. For any fraction  $\frac{p}{q}$  one has

$$\left| \sqrt{2} - \frac{p}{q} \right| \geq \frac{c}{q^2}$$

with some positive constant  $c$ .

3. Algebraic numbers. A number  $\alpha \in \mathbb{C}$  is called algebraic if there exists a non-zero polynomial  $P(x) \in \mathbb{Q}[x]$  such that  $P(\alpha) = 0$ .

a. Do there exist (real) numbers which are not algebraic?

b. **Theorem.** For any algebraic number  $\alpha$  there exists the unique **minimal polynomial**  $P_\alpha(x)$  satisfying

1)  $P_\alpha(x) \in \mathbb{Q}[x]$ ;

2)  $P_\alpha(\alpha) = 0$ ;

3) the leading coefficient of  $P_\alpha(x)$  is equal to 1.

4)  $P_\alpha(x)$  has minimal degree among all the polynomials satisfying 1), 2), 3).

The degree  $\deg \alpha$  of an algebraic number  $\alpha$  is defined as the degree of the polynomial  $P_\alpha(x)$ .

4. a. **Liouville theorem.** Let  $\alpha$  be an algebraic number of degree  $n = \deg \alpha \geq 2$ . Then there exists positive  $c_\alpha$  such that

$$\left| \alpha - \frac{p}{q} \right| \geq \frac{c_\alpha}{q^n} \quad \forall \frac{p}{q} \in \mathbb{Q}.$$

5. Some history: Thue-Siegel-Roth theorem. (We will not prove it.) Let  $\alpha$  be an algebraic number of degree  $n = \deg \alpha \geq 2$ . Then for any  $\varepsilon > 0$  there exists positive  $c_{\alpha, \varepsilon}$  such that

$$\left| \alpha - \frac{p}{q} \right| \geq \frac{c_{\alpha, \varepsilon}}{q^\gamma} \quad \forall \frac{p}{q} \in \mathbb{Q},$$

where A. Thue:  $\gamma = \frac{n}{2} + 1 + \varepsilon$ ; C. Roth:  $\gamma = 2 + \varepsilon$ .

S. Lang's conjecture: for algebraic  $\alpha$  the following statement holds:  $\exists c, \beta$  such that

$$\left| \alpha - \frac{p}{q} \right| \geq \frac{c}{q^2 (\log q)^\beta} \quad \forall \frac{p}{q} \in \mathbb{Q}.$$

**Exercises.**

0. Prove  $\|x + y\| \leq \|x\| + \|y\|$ .

1. "Very precise" Dirichlet theorem.

a. For any  $Q \in \mathbb{Z}_+$  there exists  $q \in \mathbb{Z}_+$  such that  $\|q\alpha\| \leq \frac{1}{Q+1}$ ,  $q \leq Q$ ;

b. for any  $\tau \geq 1$  there exists  $q$  such that  $\|q\alpha\| < \frac{1}{\tau}$ ,  $q \leq \tau$ ;

c. for any  $\tau \geq 1$  there exists an irreducible fraction  $\frac{p}{q}$  such that

$$\left| \alpha - \frac{p}{q} \right| < \frac{1}{\tau q}, \quad 1 \leq q \leq \tau.$$

2. Golden section. Prove that for any  $\varepsilon > 0$  the inequality

$$\left| \frac{\sqrt{5} + 1}{2} - \frac{p}{q} \right| \leq \frac{1 - \varepsilon}{\sqrt{5} q^2}.$$

has only finite number of solutions in fractions  $\frac{p}{q} \in \mathbb{Q}$ . (Suggestion:  $q^2 \left| \frac{\sqrt{5}+1}{2} - \frac{p}{q} \right| \cdot \left| \frac{\sqrt{5}+1}{2} - \frac{p}{q} - \sqrt{5} \right| \in \mathbb{Z}_+$ .)

3. Prove theorem about minimal polynomial.

4. Minimal polynomial. What are the degrees and the minimal polynomials for

a)  $\sqrt[3]{2}$  ?

b)  $\sqrt{2} + \sqrt{3}$  ?

(Suggestion for a.:  $x^3 - 2$  has no rational roots.)

4. Is Liouville's theorem valid for complex algebraic numbers?

6. Transcendental numbers. Prove that the numbers are not algebraic:

$$a. \sum_{n=0}^{\infty} \frac{1}{2^{n!}}; \quad b. \sum_{n=0}^{\infty} \frac{1}{2^{2^{n^2}}}; \quad c. \sum_{n=0}^{\infty} \frac{1}{3^{n!}}.$$

## Introduction to Continued Fractions (Lecture No. 2, 22.07.2024).

1. What is Euclidean algorithm and how it is related to continued fractions of rational numbers?
2. Formal infinite continued fraction.

$$[a_0; a_1, a_2, \dots, a_\nu, \dots], \quad a_0 \in \mathbb{Z}, \quad a_j \in \mathbb{Z}_+, j = 1, 2, 3, \dots \quad (1)$$

$a_j$  - partial quotients,

$$\frac{p_\nu}{q_\nu} = [a_0; a_1, a_2, \dots, a_\nu] = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \dots + \frac{1}{a_\nu}}}, \quad (p_\nu, q_\nu) = 1 - \text{convergents.}$$

3. Recursive formulas for the convergents' numerators and denominators.

$$p_{\nu+1} = a_{\nu+1}p_\nu + p_{\nu-1}, \quad q_{\nu+1} = a_{\nu+1}q_\nu + q_{\nu-1}, \quad p_\nu q_{\nu-1} - q_\nu p_{\nu-1} = (-1)^{\nu-1}.$$

4. The value of continued fraction (1). Prove that

- a.  $\frac{p_{2\nu}}{q_{2\nu}}$  is an increasing sequence;
- b.  $\frac{p_{2\mu+1}}{q_{2\mu+1}}$  is a decreasing sequence;
- c.  $\frac{p_{2\nu}}{q_{2\nu}} < \frac{p_{2\mu+1}}{q_{2\mu+1}}$  for all  $\mu, \nu$ ;
- d.  $\left| \frac{p_\nu}{q_\nu} - \frac{p_{\nu+1}}{q_{\nu+1}} \right| = \frac{1}{q_\nu q_{\nu+1}}$ ;
- e. there exists  $\lim_{\nu \rightarrow \infty} \frac{p_\nu}{q_\nu}$  which is called the value of continued fraction (1).

5. For every real number  $\alpha$  there exists a continued fraction of the form (1) which value is  $\alpha$ .

6. Problem of uniqueness. Prove that every irrational number has the unique representation as a value of a continued fraction of the form (1). What happens with rational numbers, and what is the correct statement about uniqueness for rationals?

7. Prove that

$$||q_\nu \alpha|| = \frac{1}{q_\nu(\alpha_{\nu+1} + \alpha_\nu^*)},$$

where

$$\alpha_{\nu+1} = [a_{\nu+1}; a_{\nu+2}, a_{\nu+3}, \dots], \quad \alpha_\nu^* = [0; a_\nu, a_{\nu-1}, \dots, a_1].$$

8. **Lagrange Theorem.**  $\alpha$  is a quadratic irrationality if and only if its continued fraction is eventually periodic.

9. **Zaremba's Conjecture.**

$$\forall q \in \mathbb{Z}_+ \quad \exists a : (a, q) = 1 \quad \text{such that in c.f. expansion } \frac{a}{q} = [0; a_1, \dots, a_t] \quad \text{one has } a_j \leq 5, \quad \forall j.$$

(We will not prove it.)

### Exercises.

1. Prove that for any  $\alpha$  and for any  $\nu$  one has  $q_\nu \geq \left(\frac{1+\sqrt{5}}{2}\right)^{\nu-1}$ .
2. **Valen's Theorem.** For any  $\nu$  either

$$q_\nu ||q_\nu \alpha|| < 1/2,$$

or

$$q_{\nu+1} \|q_{\nu+1} \alpha\| < 1/2$$

holds.

3. Suppose that in (1)  $a_0 \geq 1$ . Prove that  $\frac{p_n}{p_{n-1}} = [a_n; a_{n-1}, \dots, a_0]$ .

4. Prove that

a.  $\sqrt{d^2 + 1} = [d; \overline{2d}]$ ;

b.  $\sqrt{d^2 + 2} = [d; \overline{d, 2d}]$ ;

c.  $\underbrace{[2; 2, \dots, 2]}_n = \frac{(1+\sqrt{2})^{n+1} - (1-\sqrt{2})^{n+1}}{(1+\sqrt{2})^n - (1-\sqrt{2})^n}$ .

5. Prove that each rational number  $\frac{a}{b}$  can be represented in a form

$$b_0 - \frac{1}{b_1 - \frac{1}{b_2 - \dots - \frac{1}{b_\nu}}} \quad (2)$$

with  $b_j \geq 2, j = 1, 2, \dots, \nu$ .

6. Prove Zaremba's Conjecture for

a.  $q = F_n$  - Fibonacci numbers;

b.  $q = 2^n$ ;

c. for all the numbers of the form  $q = 2^n 3^m$ ;

d. for representation of rationals as continues fractions (2), that is, you should prove that for any  $q \in \mathbb{Z}_+$  there exists  $a \in \mathbb{Z}$  such that  $(a, q) = 1$  and in the decomposition

$$b_0 - \frac{1}{b_1 - \frac{1}{b_2 - \dots - \frac{1}{b_\nu}}}$$

we have  $b_j \leq 5 \forall j$ .